

①

Lecture 4

Mathematical Background & Tools

Finite-dimensional Hilbert spaces
(AKA complex Euclidean spaces)

Use $|\psi\rangle$ to denote a vector in \mathcal{H}
 \uparrow
Hilbert space

Inner product $\langle \cdot | \cdot \rangle : \mathcal{H} \times \mathcal{H} \rightarrow \mathbb{C} :$

1) non-negativity: $\langle \psi | \psi \rangle \geq 0 \quad \forall |\psi\rangle \in \mathcal{H}$

$\&$ $\langle \psi | \psi \rangle = 0$ iff $|\psi\rangle = 0$

2) conjugate bilinearity

$$\langle \alpha_1 \psi_1 + \beta_1 \phi_1 | \alpha_2 \psi_2 + \beta_2 \phi_2 \rangle$$

$$= \bar{\alpha}_1 \alpha_2 \langle \psi_1 | \psi_2 \rangle + \bar{\alpha}_1 \beta_2 \langle \psi_1 | \phi_2 \rangle$$

$$+ \bar{\beta}_1 \alpha_2 \langle \phi_1 | \psi_2 \rangle + \bar{\beta}_1 \beta_2 \langle \phi_1 | \phi_2 \rangle$$

$\forall |\psi_1\rangle, |\psi_2\rangle, |\phi_1\rangle, |\phi_2\rangle \in \mathcal{H} \quad \& \quad \alpha, \beta \in \mathbb{C}$

(2)

3) conjugate symmetry

$$\langle \psi | \phi \rangle = \overline{\langle \phi | \psi \rangle} \quad \forall |\psi\rangle, |\phi\rangle \in \mathcal{H}$$

\bar{z} denotes complex conjugate of $z \in \mathbb{C}$.

take $|0\rangle = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$, $|1\rangle = \begin{pmatrix} 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$, ...

$$|d-1\rangle = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ 1 \end{pmatrix}$$

$$\langle i | j \rangle = \delta_{ij}$$

Define inner product to be

$$\langle \psi | \phi \rangle = \sum_{i=0}^{d-1} \bar{\alpha}_i \beta_i \quad \text{for}$$

③

$$|\psi\rangle = \sum_i \alpha_i |i\rangle \quad \downarrow \quad |\phi\rangle = \sum_i \beta_i |i\rangle$$

Euclidean norm:

$$\| |\psi\rangle \|_2 = \sqrt{\langle \psi | \psi \rangle}$$

Cauchy - Schwarz:

$$|\langle \psi | \phi \rangle|^2 \leq \langle \psi | \psi \rangle \cdot \langle \phi | \phi \rangle$$

w/ equality iff $\exists \alpha \in \mathbb{C}$
s.t. $|\phi\rangle = \alpha |\psi\rangle$.

$\langle \psi |$ is dual vector of $|\psi\rangle$,

linear functional from \mathcal{H} to \mathbb{C}
such that

$$\langle \psi | (|\phi\rangle) = \langle \psi | \phi \rangle \quad \forall |\phi\rangle \in \mathcal{H}.$$

④

can express as

$$\langle f | = \sum_i \bar{\alpha}_i \langle i |$$

where $\langle i | = (0 \dots 0 \underset{\uparrow}{1} 0 \dots 0)$ (row vector)
 $(i+1)^{\text{th}}$ entry.

$|i\rangle_A \otimes |j\rangle_B$ is tensor product

of $|i\rangle_A \in H_A$ & $|j\rangle_B \in H_B$

Example:

$$i=0 \text{ & } j=2$$

$$|0\rangle \otimes |2\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \otimes \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \\ 0 \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

(5)

More generally,

$$|\psi\rangle_A \otimes |\phi\rangle_B = \sum_i \sum_j \alpha_i \beta_j |i\rangle_A \otimes |j\rangle_B$$

$$\text{for } |\psi\rangle = \sum_i \alpha_i |i\rangle$$

More general

$$|\phi\rangle = \sum_j \beta_j |j\rangle$$

Example:

$$|\psi\rangle \otimes |\phi\rangle = \begin{pmatrix} \alpha_0 \\ \alpha_1 \end{pmatrix} \otimes \begin{pmatrix} \beta_0 \\ \beta_1 \\ \beta_2 \end{pmatrix} = \begin{pmatrix} \alpha_0 \begin{pmatrix} \beta_0 \\ \beta_1 \\ \beta_2 \end{pmatrix} \\ \alpha_1 \begin{pmatrix} \beta_0 \\ \beta_1 \\ \beta_2 \end{pmatrix} \end{pmatrix}$$

$$= \begin{pmatrix} \alpha_0 \beta_0 \\ \alpha_0 \beta_1 \\ \alpha_0 \beta_2 \\ \alpha_1 \beta_0 \\ \alpha_1 \beta_1 \\ \alpha_1 \beta_2 \end{pmatrix}$$

tensor-product Hilbert space $H_A \otimes H_B$
defined as

$$\text{span} \left\{ |i\rangle_A \otimes |j\rangle_B : i \in \{0, \dots, d_A-1\}, j \in \{0, \dots, d_B-1\} \right\}$$

(6)

direct sum of $H_A + H_B$:

$$H_A \oplus H_B$$

defined as Hilbert space of vectors

$$|\psi\rangle_A \oplus |\phi\rangle_B = \begin{pmatrix} |\psi\rangle_A \\ |\phi\rangle_B \end{pmatrix}$$

Linear Operators

$X: H_A \rightarrow H_B$ is a function satisfying

$$\begin{aligned} X(\alpha|\psi\rangle_A + \beta|\phi\rangle_A) \\ = \alpha X|\psi\rangle_A + \beta X|\phi\rangle_A \end{aligned}$$

$\forall \alpha, \beta \in \mathbb{C}$ & $|\psi\rangle_A, |\phi\rangle_A \in H_A$

can write as $X_{A \rightarrow B}$

can identify with matrices
through a matrix representation

Denote set of all linear operators by

$$L(H_A, H_B)$$

if input & output spaces the same,
then write

$$L(H_A) \text{ for short.}$$

Consider that

$$\begin{aligned}
X_{A \rightarrow B} &= I_B X_{A \rightarrow B} I_A \\
&= \sum_i |i\rangle \langle i|_B X_{A \rightarrow B} \sum_j |j\rangle \langle j|_A \\
&= \sum_{ij} |i\rangle \langle i|_B X_{A \rightarrow B} |j\rangle \langle j|_A \\
&= \sum_{ij} X_{ij} |i\rangle \langle j|_A
\end{aligned}$$

where $X_{ij} = \langle i|X|j\rangle$

8

The set $\{X_{ij}\}_{ij}$ is the matrix
representation of $X_{A \rightarrow B}$ in the standard
basis.

tensor products of linear operators

$$(X \otimes Y) (|\psi\rangle \otimes |\phi\rangle)$$

$$= X|\psi\rangle \otimes Y|\phi\rangle$$

matrix rep. of $X \otimes Y$ is
Kronecker product of ^{individual} matrix reps
of X & Y :

$$X \otimes Y = \begin{pmatrix} X_{0,0} & X_{0,1} \\ X_{1,0} & X_{1,1} \end{pmatrix} \otimes \begin{pmatrix} Y_{0,0} & Y_{0,1} & Y_{0,2} \\ Y_{1,0} & Y_{1,1} & Y_{1,2} \\ Y_{2,0} & Y_{2,1} & Y_{2,2} \end{pmatrix}$$

#/

9

$$= \begin{pmatrix} X_{0,0} \begin{pmatrix} Y_{0,0} \\ \vdots \end{pmatrix} & X_{0,1} \begin{pmatrix} Y_{0,0} \\ \vdots \end{pmatrix} \\ X_{1,0} \begin{pmatrix} Y_{0,0} & \dots \\ \vdots & \vdots \end{pmatrix} & X_{1,1} \begin{pmatrix} Y_{0,0} & \dots \\ \vdots & \vdots \end{pmatrix} \end{pmatrix}$$

Image of operator $X_{A \rightarrow B}$:

$$\text{im}(X) = \left\{ |\phi\rangle_B \in \mathcal{H}_B : |\phi\rangle_B = X|\psi\rangle_A, \right. \\ \left. |\psi\rangle_A \in \mathcal{H}_A \right\}$$

$$\text{rank}(X) = \dim(\text{im}(X))$$

kernel of operator $X_{A \rightarrow B}$:

$$\text{ker}(X) = \left\{ |\psi\rangle_A \in \mathcal{H}_A : X|\psi\rangle_A = 0 \right\}$$

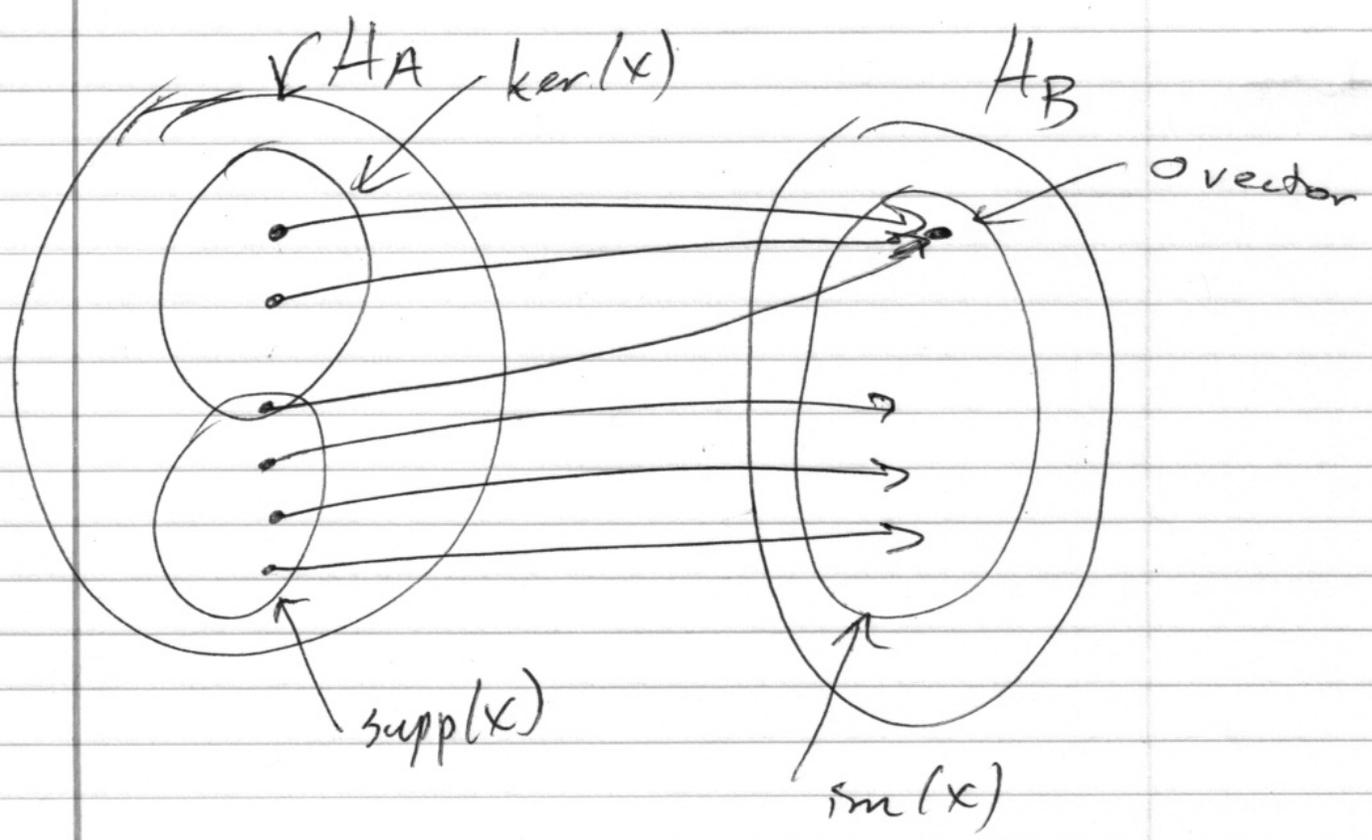
$$d_A = \text{rank}(X) + \dim(\text{ker}(X))$$

(10)

support of X : (orthogonal complement of kernel)

$$\text{supp}(X) = \{ | \psi \rangle \in H_A : \langle \psi | \phi \rangle = 0 \forall | \phi \rangle \in \ker(X) \}$$

Picture:



11

trace :

$$\text{Tr}[X] = \sum_i \langle i | X | i \rangle$$

can use any orthonormal basis

Cyclicality of trace:

$$\begin{aligned} \text{Tr}[XYZ] &= \text{Tr}[ZXY] \\ &= \text{Tr}[YZX] \end{aligned}$$

transpose (basis dependent operation)

$$X^T \text{ or } T(X)$$

$$= \sum_{ij} X_{ij} |j\rangle\langle i|$$

flips $|i\rangle\langle j|$ to $|j\rangle\langle i|$

(12)

can be understood as superoperator

$$T(X) = \sum_{ij} |j\rangle\langle i| X |j\rangle\langle i|$$

these are Kraus
operators of
superoperator.

conjugate transpose (basis independent)

$$X^\dagger = \sum_{ij} \overline{X_{ij}} |j\rangle\langle i|$$

take transpose of
complex conjugate

(13)

Hilbert - Schmidt inner product;

$$\langle X, Y \rangle = \text{Tr} [X^\dagger Y]$$

Hilbert - Schmidt norm:

$$\|X\|_2 = \sqrt{\langle X, X \rangle}$$

For every vector $|\psi\rangle_{AB} \in \mathcal{H}_A \otimes \mathcal{H}_B$

$\exists X_{A \rightarrow B}$ such that

$$|\psi\rangle_{AB} = (\mathbb{I}_A \otimes X_{A \rightarrow B}) |\Gamma\rangle_{AA}$$

where

$$|\Gamma\rangle_{AA} = \sum_i |i\rangle_A \otimes |i\rangle_A$$

called "maximally entangled vector"

(14)

$$\text{Suppose } |\psi\rangle_{AB} = \sum_{ij} \alpha_{ij} |i\rangle_A \otimes |j\rangle_B$$

$$\text{Then set } X_{A \rightarrow B} = \sum_{ij} \alpha_{ij} |j\rangle_B \langle i|_A$$

Why does this work?

$$\left(I_A \otimes X_{A \rightarrow B} \right) |\psi\rangle_{AA}$$

$$= \left(I_A \otimes \sum_{ij} \alpha_{ij} |j\rangle_B \langle i|_A \right) \left(\sum_k |k\rangle_A \otimes |k\rangle_A \right)$$

$$= \sum_{ijk} \alpha_{ij} |k\rangle_A \otimes |j\rangle_B \langle i|k\rangle_A$$

$$= \sum_{ij} \alpha_{ij} |i\rangle_A \otimes |j\rangle_B$$

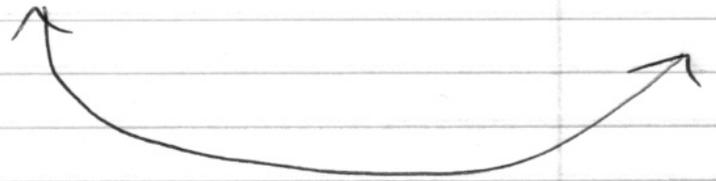
$$= |\psi\rangle_{AB}$$

(15)

Transpose Trick:

For every $X_{A \rightarrow B} \in L(H_A, H_B)$,

$$(\mathbb{I}_A \otimes X_{A \rightarrow B}) | \Gamma \rangle_{AA} = (X^T)_{B \rightarrow A} \otimes \mathbb{I}_B | \Gamma \rangle_{BB}$$



notice different
dimensions

Interpretation:



Bottom party performing X is the
same as top party doing X^T

Different kinds of operators:

1) Hermitian: $X = X^\dagger$

2) Positive semi-definite:

Hermitian & satisfies

$$\langle \psi | X | \psi \rangle \geq 0 \quad \forall |\psi\rangle$$

We write $X \geq Z$ if

$X - Z$ is positive semidefinite

3) positive definite:

$$\langle \psi | X | \psi \rangle > 0 \quad \forall |\psi\rangle$$

↑ strict inequality

4) density operators:

positive semi-definite
w/ trace one

5) unitary operators :

$$U \in L(H) \text{ and } U U^\dagger = U^\dagger U = I$$

6) Isometries :

$$V \in L(H_A, H_B) \text{ and}$$

$$V^\dagger V = I_A$$

7) Projections :

$$P \in L(H) \text{ , Hermitian and}$$

satisfies $P^2 = P$

Singular value decomposition:

For every $X \in L(H_A, H_B)$

w/ $r = \text{rank}(X)$

$$\exists \{s_k\}_{k=1}^r \quad \text{s.t.} \quad s_k > 0 \quad \forall k$$

↑ singular values

$$\& \{ |e_k\rangle_B \}_{k=1}^r \quad \& \{ |f_k\rangle_A \}_{k=1}^r$$

orthonormal sets

s.t.,

$$X = \sum_{k=1}^r s_k |e_k\rangle_B \langle f_k|_A$$

Schmidt Decomposition

For every $|\psi\rangle_{AB} \in \mathcal{H}_A \otimes \mathcal{H}_B$,

we have

$$|\psi\rangle_{AB} = \sum_{k=1}^r \sqrt{\lambda_k} |e_k\rangle_A \otimes |f_k\rangle_B$$

where $\{\lambda_k\}_{k=1}^r$ & $\lambda_k > 0$

are Schmidt coefficients

& $\{|e_k\rangle_A\}_{k=1}^r$ & $\{|f_k\rangle_B\}_{k=1}^r$

are orthonormal sets

Proof: write $|\psi\rangle_{AB}$ as

$$|\psi\rangle_{AB} = \sum_{ij} \alpha_{ij} |i\rangle_A |j\rangle_B$$

20

Define operator

$$X = \sum_{ij} d_{ij} |j\rangle_B \langle i|_A \quad (\text{flip } |i\rangle_A \text{ to } \langle i|_A)$$

apply SVD to X to get

$$X = \sum_{k=1}^r \sqrt{\lambda_k} |f_k\rangle_B \langle g_k|_A$$

then flip back to get

$$|\psi\rangle_{AB} = \sum_{k=1}^r \sqrt{\lambda_k} \overline{|g_k\rangle_A} \otimes |f_k\rangle_B$$

define $|e_k\rangle_A$ to be $\overline{|g_k\rangle_A}$

Polar decomposition:

every $X \in L(H)$ can be written

$$\text{as } X = UP$$

where U is unitary & P is
positive semi-definite

Proof: apply SVD.

Spectral theorem:

Def'n: operator X is normal if $XX^* = X^*X$

→ For every normal $X \in L(H)$,

$\exists n \in \mathbb{N}$ s.t.

$$X = \sum_{j=1}^n \lambda_j \pi_j$$

where $\{\lambda_j\}_{j=1}^n$ are distinct eigenvalues

(22)

⊆

$\{\pi_j\}_{j=1}^n$ is set of spectral projections

satisfying $\sum_{j=1}^n \pi_j = I$

$$\dagger \pi_i \pi_j = \delta_{ij} \pi_i$$

can write Hermitian X in terms
of positive part + negative part

$$X = X_+ - X_-$$

where $X_+ X_- = 0$

$$\dagger X_+ = \sum_{k: \lambda_k \geq 0} \lambda_k \pi_k$$

$$X_- = \sum_{k: \lambda_k < 0} |\lambda_k| \pi_k$$