

## Lecture 4

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Different notions of convergence  
in  $\infty$ -dim. case.

- Given that we have been working w/ normed spaces, one might think that a natural notion of convergence for a sequence  $\{T_j\} \subset \mathcal{L}(H)$  is w/ respect to norm. I.e.,

$T_j$  converges to  $T$  w.r.t.  
norm topology if (for uniform topology)

$$\lim_{j \rightarrow \infty} \|T_j - T\| = 0$$

- However, in quantum physical applications, this notion of convergence is too strong.

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- So then we consider other notions of convergence that are more compatible w/ physical intuition.

- One such notion is called weak convergence, or convergence in the weak operator topology:

Def: A sequence  $\{T_j\}_j \subset \mathcal{L}(H)$  converges to  $T \in \mathcal{L}(H)$  weakly (or in weak op. topology) if

$$\forall \psi, \varphi \in H \quad \lim_{j \rightarrow \infty} |\langle \varphi | T_j \psi \rangle - \langle \varphi | T \psi \rangle| = 0.$$

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Prop. If a sequence  $\{T_j\}_j \subset \mathcal{L}(H)$  converges to  $T \in \mathcal{L}(H)$  in norm, then it also converges to  $T$  weakly.

Proof:  $\forall \psi, \varphi \in H$ , we have that

$$\begin{aligned} & |\langle \varphi | T_j \psi \rangle - \langle \varphi | T \psi \rangle| \\ &= |\langle \varphi | (T_j - T) \psi \rangle| \\ &\leq \|\varphi\| \|\psi\| \|T_j - T\| \end{aligned}$$

Taking  $\lim_{j \rightarrow \infty}$ , we conclude.

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Example: Let  $\{\Pi_j\}_j$  be a sequence of orthogonal projections

Let  $\{\varphi_j\}_{j=1}^{\infty}$  be an O.N. basis  
Then  $\Pi_j$  is projection onto

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$$\text{span} \{ \varphi_k : k \in \{1, \dots, j\} \}$$

Then consider that

$$| \langle \phi | \pi_j \psi \rangle - \langle \phi | \psi \rangle |$$

$$= | \langle \phi | (I - \pi_j) \psi \rangle |$$

Now write  $|\psi\rangle$  as

$$|\psi\rangle = \sum_{j=1}^{\infty} \alpha_j |\varphi_j\rangle$$

$$\text{Then } (I - \pi_j) |\psi\rangle = \sum_{l=j}^{\infty} \alpha_l |\varphi_l\rangle$$

so that

$$= | \langle \phi | \sum_{l=j}^{\infty} \alpha_l |\varphi_l\rangle |$$

$$\leq \| \phi \| \sum_{l=j}^{\infty} |\alpha_l|^2 \quad (C-S)$$

$$\text{Then } \lim_{j \rightarrow \infty} \sum_{l=j}^{\infty} |\alpha_l|^2 = 0$$

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However, what about convergence in norm topology?

Fix  $j$ . Consider that

$$\|I - \pi_j\| = 1$$

(by picking some unit vector in space spanned by  $I - \pi_j$ )

then

$$\lim_{j \rightarrow \infty} \|I - \pi_j\| = 1 \quad \text{+ there is no convergence}$$

This happens b/c order of optimizations is different than in weak topology.

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In quantum optics, one could have projections onto photon-number

subspace of photon number  $\leq N$ .

Even though it is intuitive that these should converge to identity, it doesn't happen in norm topology.

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Another example: (related)

Consider a sequence  $\{T_j\}_j \subset \mathcal{L}(H)$   
of shift operators  $T_j = A^j$ ,  
where  $A$  is forward shift operator,

Then

$$T_j (g_0, g_1, \dots) = (\underbrace{0, \dots, 0}_j \text{ times}, g_0, g_1, \dots)$$

Then  $\lim_{j \rightarrow \infty} T_j = 0$  in weak o.T.

Then for  $g, n \in \ell^2(\mathbb{N})$ , we find that

$$\langle n | T_j g \rangle$$

$$= \left| \sum_{k=0}^{\infty} \bar{n}_{k+j} g_k \right| \leq \|g\| \sum_{k=j}^{\infty} |n_k|^2$$

Taking limit  $j \rightarrow \infty$ , RHS  $\rightarrow 0$

$\Rightarrow T_j \xrightarrow{\text{weakly}} 0$ .

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However  $\|T_j g\| = \|g\| \quad \forall j$

∴ so there is no convergence

to 0 in operator norm topology.

In fact, we can conclude that

$\{T_j\}_j$  does not converge in norm topology.

Since  $\{T_j\}_j$  converges weakly

to 0, ~~it does not converge in norm~~

it does not converge weakly to any other operator.

contrapositive of earlier prop is :

If  $\{T_j\}_j$  does not converge weakly

to  $T$ , then it does not converge in norm to  $T$ .

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So  $\{T_j\}$  does not converge  
to any operator  $T$  in norm.

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Whenever we write equalities  
for operators  $A, B \in \mathcal{L}(H)$

$$A = B,$$

this should be understood in  
weak sense

$$A = B \text{ means } \langle \psi | A \psi \rangle = \langle \psi | B \psi \rangle$$

$$\forall \psi, \psi \in H.$$

E.g.  $I = \sum_{j=1}^{\infty} |\epsilon_j\rangle\langle\epsilon_j|$  in weak sense.

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- $T \in \mathcal{L}(H)$  is normal if  $TT^* = T^*T$
- This holds for selfadjoint & unitary operators

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For such operators ~~that~~ (for any <sup>bounded</sup> sequence  $\{x_n\}$ , the seq.  $\{Tx_n\}$  has a convergent subsequence).  
that are also compact,  
there is a spectral decomposition.

I.e.,  $\exists$  a sequence  $\{\lambda_j\}$   
of complex numbers & an o.n.  
basis  $\{|\varphi_j\rangle\}$  such that

$$T = \sum_{j=1}^{\infty} \lambda_j |\varphi_j\rangle\langle\varphi_j|$$

This means that action on  $|\psi\rangle$  is

$$T|\psi\rangle = \sum_{j=1}^{\infty} \lambda_j \langle\varphi_j|\psi\rangle |\varphi_j\rangle$$

(any trace-class op. is compact.)

More generally, any compact operator  $T$  can be written as

$$T = \sum_{j=1}^{\infty} s_j |\varphi_j\rangle\langle\phi_j|$$

for non-negative  $s_j$  & o.n. bases  $\{|\varphi_j\rangle\}$ ,  $\{|\phi_j\rangle\}$

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## Duality of bounded operators & trace class operators

A linear mapping  $f$  from complex vector space  $V$  to  $\mathbb{C}$  is called a linear functional.

- If  $V$  is normed, then  $V^*$  denotes the set of all continuous linear functionals, called dual space of  $V$ .

- can define a norm on  $V^*$  by

$$\|f\| = \sup_{\|v\|=1} |f(v)|$$

Important Theorem:

Riesz representation theorem

Let  $f \in H^*$ . Then there exists a unique vector  $\phi \in H$  s.t.

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$$f(\psi) = \langle \phi | \psi \rangle .$$

$$\text{Also, } \|f\| = \|\phi\|$$

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This means that there is only one way, through inner product, to realize the continuous linear functionals.

This then extends to bounded operators & trace-class operators.

What is the dual space ~~of~~

$\mathcal{T}(H)^*$  of trace-class operators?

For each  $S \in \mathcal{T}(H)$ , define

linear functional  $f_S$  on  $\mathcal{T}(H)$  by

$$f_S(T) = \text{tr} [ST]$$

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Theorem: The mapping  $S \rightarrow f_S$   
is a linear bijection from  $\mathcal{L}(\mathcal{H})$   
to  $\mathcal{L}(\mathcal{H})^*$  +  $\|S\| = \|f_S\|$   
 $\forall S \in \mathcal{L}(\mathcal{H})$ .

can identify dual space  $\mathcal{L}(\mathcal{H})^*$   
w/  $\mathcal{L}(\mathcal{H})$ .

can conclude that

a)  $S \geq 0 \Leftrightarrow f_S(T) \geq 0 \quad \forall T \geq 0$ .

b)  $S = S^\dagger \Leftrightarrow f_S(T) \in \mathbb{R} \quad \forall T = T^\dagger$ .

Quantum Mechanics

$$S(\mathcal{H}) = \left\{ \rho \in \mathcal{L}(\mathcal{H}) : \rho \geq 0 \text{ + } \text{Tr}[\rho] = 1 \right\}$$

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Theorem: State  $\rho \in S(\mathcal{H})$  has canonical convex decomposition of the form

$$\rho = \sum_j r_j P_j$$

where  $\{r_j\}_j$  is finite or  $\infty$  sequence of ~~non-negative~~ positive numbers  $\sum_i r_i = 1$ .

$\downarrow$   $\{P_j\}_j$  is a set of orthogonal projections.

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Effect is a mapping from the set  $S(\mathcal{H})$  of states to interval  $[0, 1]$ .

~~Effect~~

i.e.  $\rho \rightarrow E(\rho) \in [0, 1]$ .

$E(\rho)$  is the probability of a "yes" answer to "the recorded measurement outcome belongs to a subset  $X \in \mathcal{L}$ ."

Basic assumption is that

$$E(\lambda \rho_1 + (1-\lambda) \rho_2) = \lambda E(\rho_1) + (1-\lambda) E(\rho_2)$$

$$\forall \rho_1, \rho_2 \in S(\mathcal{H}) \quad \forall \lambda \in [0, 1]$$

affine mapping from  $S(\mathcal{H})$  to  $[0, 1]$ .

Prop.: Let  $E$  be an effect.

then there exists  $\hat{E} \in \mathcal{L}_s(\mathcal{H})$

such that

$$E(\rho) = \text{Tr}[\hat{E} \rho] \quad \forall \rho \in S(\mathcal{H}).$$

Also  $0 \leq \hat{E} \leq I$ .

Proof: Extend  $E$  to a continuous linear functional  $\tilde{E}$  on  $\mathcal{T}(\mathcal{H})$ .

then apply duality  $\mathcal{T}(\mathcal{H})^* = \mathcal{L}(\mathcal{H})$ .

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Partial trace:

$$\text{Tr}_A : \mathcal{T}(H_A \otimes H_B) \rightarrow \mathcal{T}(H_B)$$

is linear mapping satisfying

$$\text{Tr} \left[ \text{Tr}_A [T_{AB}] E_B \right] = \text{Tr} \left[ T_{AB} (I_A \otimes E_B) \right]$$

$$\forall T_{AB} \in \mathcal{T}(H_A \otimes H_B) \quad \& \quad E_B \in \mathcal{L}(H_B).$$

How to calculate partial trace?

pick o.n. bases  $\{ \psi_j \}_j$  for  $H_A$

&  $\{ \varphi_k \}_k$  for  $H_B$  & then

$$\text{Tr}_A [T] = \sum_{j,k,n} \langle \psi_j |_A \otimes \langle \varphi_k |_B T_{AB} | \psi_j \rangle_A \otimes | \varphi_n \rangle_B$$

this is what is meant by

$$\sum_j \langle \psi_j |_A \otimes I_B (T_{AB}) | \psi_j \rangle_A \otimes I_B.$$

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state purification:

- given  $\rho_A \in S(\mathcal{H}_A)$ , purification

$$\text{is } |\psi\rangle_{RA} \in \mathcal{H}_R \otimes \mathcal{H}_A$$

such that

$$\text{Tr}_R [|\psi\rangle\langle\psi|_{RA}] = \rho_A$$

- can construct from spectral decomposition

$$\rho = \sum_j \lambda_j |\psi_j\rangle\langle\psi_j|$$

$$\rightarrow |\psi\rangle_{RA} = \sum_j \sqrt{\lambda_j} |\psi_j\rangle_R |\psi_j\rangle_A$$

Lemma: let  $T \in \mathcal{L}(\mathcal{H})$ ,

$$\text{Then } \|T\|_1 = \sup_{U \in \mathcal{U}(\mathcal{H})} |\text{Tr}[UT]|$$

Proof: use Hölder inequality of pshw decomposition of Russo-Dye theorem

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## Operations & channels

Def. A linear mapping  $N_{A \rightarrow B}: \mathcal{L}(H_A) \rightarrow \mathcal{L}(H_B)$

is completely positive if

if  $\text{id}_R \otimes N_{A \rightarrow B}$  is positive

$\forall$  finite-dimensional  $H_R$ .

- recall map  $M$  is positive if

$$M(T) \geq 0 \quad \forall T \geq 0 \text{ \& } T \in \mathcal{L}(H).$$

Def.  $N_{A \rightarrow B}: \mathcal{L}(H_A) \rightarrow \mathcal{L}(H_B)$

is a channel if it is CP

$\&$  trace preserving

$$\text{Tr}[N(T)] = \text{Tr}[T] \quad \forall T \in \mathcal{L}(H).$$

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Adjoint of a linear mapping

$N$  on  $\mathcal{L}(H)$  is  $N^\dagger$  on  $\mathcal{L}(H)$   
and defined as  $N^\dagger$  satisfying

$$\text{Tr}[N(T)E] = \text{Tr}[T N^\dagger(E)]$$

$\forall T \in \mathcal{L}(H) \ \& \ E \in \mathcal{L}(H).$

then  $N: \mathcal{L}(H) \rightarrow \mathcal{L}(H)$

defines  $N^\dagger: \mathcal{L}(H) \rightarrow \mathcal{L}(H).$

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to go from linear mapping on  $\mathcal{L}(H)$

to channels, we require CP, unital,

& normal.

$\uparrow$   
see text for this.

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## Stinespring

If a linear map  $N^+ : \mathcal{L}(H_B) \rightarrow \mathcal{L}(H_A)$   
is CP, unital, & normal, then

$\exists H_E$ ,  $V \in \mathcal{L}(H_B \otimes H_E, H_A)$   
such that  $H_A, H_B \otimes H_E$

$$N^+(T_B) = V^+(T_B \otimes I_E)V$$

$$\text{w/ } V^+V = I_A \quad \forall T_B \in \mathcal{L}(H_B).$$

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In Schrödinger picture,

for channel  $N : \tilde{\mathcal{L}}(H_A) \rightarrow \tilde{\mathcal{L}}(H_B)$

$\exists H_E$ , isometry  $V \in \mathcal{L}(H_A, H_B \otimes H_E)$

s.t.,

$$N(X_A) = \text{Tr}_E[V X_A V^+] \quad \forall X_A \in \tilde{\mathcal{L}}(H_A)$$

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## Operator-sum form

Prop.  $N: \mathcal{L}(H_A) \rightarrow \mathcal{L}(H_B)$  is  
a channel iff  $\exists$  sequence  
of bounded op's  $\{A_k\}_k$  s.t.

$$N(T) = \sum_k A_k T A_k^\dagger, \quad \sum_k A_k^\dagger A_k = I$$

$$\forall T \in \mathcal{L}(H_A).$$